

USPTO PCT/TO 03 APR 2003

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER MATH / 1103
		U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
INTERNATIONAL APPLICATION NO. PCT / IN 2003 / 000386	INTERNATIONAL FILING DATE 10 . 12 . 2003	PRIORITY DATE CLAIMED 20 . 10 . 2003
TITLE OF INVENTION A SIMPLIFIED INSULATED BOTTLE		
APPLICANT(S) FOR DO/EO/US THADANI Mahesh		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		

AP20 Rec'd 09 APR 2006

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U.S. APPLICATION NO. (if known) 10/575277 37 CFR 1.5)	INTERNATIONAL APPLICATION NO. PCT / IN 2003 / 000386	ATTORNEY'S DOCKET NUMBER MATH / 1103
20. Other items or information:		
The following fees have been submitted		CALCULATIONS PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300		\$ 300.-
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations..... \$200		\$ 200.-
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations..... \$500		\$ 400.-
TOTAL OF 21, 22 and 23 =		900.-
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.		
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)
- 100 =	/50 =	x \$250
		\$ -
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).		\$ -
CLAIMS	NUMBER FILED	NUMBER EXTRA
Total claims	- 20 =	x \$ 50
Independent claims	- 3 =	x \$200
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$360
TOTAL OF ABOVE CALCULATIONS =		\$ 900.-
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		450.-
SUBTOTAL =		\$ 450.-
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		\$
TOTAL NATIONAL FEE =		\$
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		\$
TOTAL FEES ENCLOSED =		\$ 450.-
		Amount to be refunded:
		Amount to be charged

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- a. ☒ A check in the amount of \$ 450.- to cover the above fees is enclosed. **APR 20 2006**
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

THADANI Mahesh
1, Krishna Niwas # 1,
Pudumjee Compound,
PUNE - 411 042, MAHARASHTRA,
INDIA



SIGNATURE

Mahesh Thadani

NAME

REGISTRATION NUMBER

10/575277

Mahesh Thadani

1, Krishna Niwas #1,
Pudumjee Compound,
Pune - 411 042,
Maharashtra, INDIA.

IAP20REGISTRATION 09 APR 2006

7.4.2006

MAIL STOP PCT,
Commissioner of Patents,
P.O.Box 1450,
VA 22313-1450,
U.S.A.

Dear Sir,

Re: Assertion of entitlement to small entity status

I, Mahesh Thadani, applicant/inventor of 'A SIMPLIFIED
INSULATED BOTTLE', filed as Indian Application No 1103/Mum/2003
and subsequently as PCT Application No PCT/IN2003/000386,
hereby ask for small entity status in regard to reduction of
fees and inform that:

a) I have not assigned, granted, conveyed or licensed and am
under no obligation under contract of law to assign, grant,
convey or license any rights in the invention to any person,
concern or organization which would not qualify for small
entity status as a person, small business concern or nonprofit
organization.

Signed by me,
Mahesh Thadani



10/515217

Mahesh Thadani

I, Krishna Niwas #1,
Pudumjee Compound,
Pune - 411 042,
Maharashtra, INDIA.

AP20 Rec'd PCT/PTO 09 APR 2006

7.4.2006

MAIL STOP PCT,
Commissioner of Patents,
P.O.Box 1450,
VA 22313-1450,
U.S.A.

Dear Sir,

Re: my application PCT/IN2003/000386

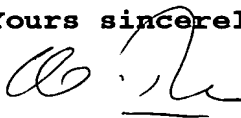
I enclose the following for entry into national phase:

- a) Form PTO 1390.
- b) Form SB/01.
- c) D.D.no 0949574 for US\$ 450.- on account of fees payable.
- d) Small entity status letter.
- e) Application Data Sheet - bibliographic.
- f) Copy of form PCT/IB/308.
- g) Copy of cover sheet of International publication.
- h) Copy of cover sheet of I.P.E. Report.
- i) Copy of form PCT/IB/332

Kindly confirm correctness.

Thanking You,

Yours sincerely,



(Mahesh Thadani)

Encl: as above